

Fee schedule for services

Retirement accounts

Annual maintenance fee:

IRA, Roth IRA, Coverdell Education Savings, IRS Model 5305 SEP	\$35
IRA and Roth IRA (mutual fund only) ¹	10
SARSEP/Prototype SEP, SIMPLE IRA, qualified retirement plans (money purchase/profit sharing plans/401(k)/Individual 401(k)/403(b)(7) custodial accounts	50

Termination fees:

IRA/Roth IRA/Coverdell Education Savings/IRS Model SEP	95
IRA and Roth IRA (mutual fund only) ¹	95
SARSEP/Prototype SEP, SIMPLE IRA, qualified retirement plans (money purchase/profit sharing plans/401(k)/Individual 401(k)/403(b)(7) custodial accounts	95

Commission and other charges

Minimum commission: Stock, option, mutual fund	20
Confirmation fee (per confirmation)	5.50
Mutual fund surcharge ²	10
Option exercise and assignment transactions (per notification)	25
Precious metals	20
Unit investment trusts	35
DK items: US/Non US	10/10 per day
Foreign receive & deliver fees: Euroclear/all other foreign depositories . . .	50/75
Inactive account fee (per inactive account, per year)	25
Inactive account fee: mutual fund only (per inactive account, per year) . . .	12.50
Margin and COD extension (per event)	10
Paper delivery for statements and confirms	75 cents
Reorganization items: voluntary/mandatory (per event)	50/10
Bond redemptions (per event)	10
Foreign securities (per account, per position, per month).	2
Wire funds	15
Precious metals storage fee (per market value per annum)	75 basis points
Precious metals delivery fee	pass-through
Safekeeping (per account, per position, per month).	10
Safekeeping foreign securities (per account, per position, per month)	10
Fractional share equity dividend reinvestment (per item)	1
Overnight courier fee: standard/Saturday/international delivery.	12/18/25

1. A fee of \$50 will apply for conversions to a mutual fund only IRA /Roth IRA from a traditional IRA/traditional Roth IRA.
 2. This fee applies to purchases and redemptions only.

Transfers

Legal, GNMA, restricted items (per transfer)	135
Outgoing account (per transfer)	100
Global transfers, receive, delivers (per transfer), plus settlement fees)25
Accommodation (per transfer)60
Register and ship certificate (per transfer)60
Direct registration (per transfer)10

Corestone checking account fees

Silver account (annual fee waived if cash sweep >\$25,000)	\$25
Check reorder10
Carbon copy checks (initial order/reorder)50
Business checks (initial order/reorder)95
Replacement binder95
Returned checks or ACH debits50
Stop payment95
Cash advance fee (non-ATM)95
Copy of paid checks or MasterCard draft50

Alternative investment network platform

Transaction fee: this fee applies to each alternative investment not participating in the no-fee Pershing Alternative Investment Network (per position, per event)

Annual per position service charge (registered/unregistered)	35/125
Alternative investment provider eligibility review fee (per review)	300

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