

Stewardship Code Disclosure

August 2023

Mesirow Financial International UK Limited

(“MFIUK” / the “Firm”)

Under Rule 2.2.3R of the Financial Conduct Authority’s (“FCA’s”) Conduct of Business Sourcebook, Mesirow Financial International UK Limited (“MFIUK”) is required to include on this website a disclosure about the nature of its commitment to the UK Financial Reporting Council’s (“FRC”) Stewardship Code (the “Code”) or, where it does not commit to the Codes, its alternative approach.

The Code is voluntary and sets out a number of principles relating to engagement by investors across asset classes invested in. For this purpose, “stewardship” is defined as *“the responsible allocation, management and oversight of capital to create long-term value for clients and beneficiaries, which leads to sustainable benefits for the economy, the environment and society.”*

MFIUK’s investment strategies involve investment exclusively in assets which do not have voting or participating rights attached to them and therefore the Code is not relevant to them. As such the Firm has taken the decision not to commit to the specific principles of the Code, nonetheless MFIUK supports the objectives that underlie the Code.

Mesirow Commitment to ESG

Reflecting its belief in the importance of integrating environmental, social and governance (ESG) issues into investment decision-making, the Mesirow Group is a signatory for the Principle for Responsible Investment (PRI), an independent body supported by the United Nations. Further, in 2015, all United Nations Member States adopted the Sustainable Development Goals (SDGs) as a universal call to action to end poverty, protect the planet and ensure all people enjoy peace and prosperity by 2030, MFIUK has aligned its practice with the UN SDGs.

For further details on any of the above information, please contact Jeffrey Levine, Compliance Officer jeff.levine@mesirow.com.